

1                                   Using Public Datasets to Evaluate  
2                                   Atrazine Intensity and Birth Defects

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6    Maturing national data collection initiatives have created new possibilities for chemical risk  
7    analysis. This study demonstrates the potential for public datasets in this field, combining a  
8    population-level live birth dataset (~29 million records) and national pesticide use volume  
9    estimates (~3000 counties) over seven years (2006-2012) to examine whether mothers living in  
10   areas with high atrazine use experience higher than average birth defect rates. Controlling for a  
11   variety of socioeconomic factors and the intense application of four other common pesticides, the  
12   data show four birth defects previously associated with atrazine (gastroschisis/omphalocele,  
13   anencephaly, spina bifida/myelomeningocele, and hypospadias) appearing 28% to 60% more  
14   often in birth records from U.S. counties with the highest intensity atrazine application – a  
15   relationship that persists under a variety of sensitivities. These results are subject to several  
16   important qualifications related to the datasets used, and efforts should be made to identify and  
17   implement data collection improvements to assist researchers and policymakers. Nonetheless, the  
18   results of this data-oriented approach are consistent with prior studies using other methods and

19 may provide a useful starting point for future large-scale assessment of chemical exposures on  
20 human health.

21

## 22 **INTRODUCTION**

23 Atrazine is the second-most heavily applied pesticide in the United States.<sup>1</sup> It is used primarily  
24 on corn and soybeans, to kill broadleaf weeds that otherwise compete with the crop for nutrients.  
25 The most recent available data indicate about 60 million pounds (30 million kg) of atrazine  
26 applied in the contiguous U.S. per year, across over 100 million acres of crop land.

27 Since the early 2000s, research has accumulated suggesting that atrazine disrupts  
28 developmental processes in vertebrate species, particularly in frogs but also in other animals and  
29 humans.<sup>2-6</sup> This effect has been observed at exposure levels below current regulatory limits.<sup>4</sup> In  
30 2003, the European Union banned atrazine, while the U.S. approved its continued use.<sup>7</sup> Since  
31 that time, investigation has continued into a potential relationship between atrazine and birth  
32 defects in humans, although the causal claim has remained disputed. A recent review concluded  
33 that the claims “about a causal link between [atrazine] and adverse pregnancy outcomes are not  
34 warranted.”<sup>8</sup> The United States Environmental Protection Agency has recently agreed, proposing  
35 to conclude that “the epidemiology evidence for an association between atrazine exposure and  
36 risk of birth defects [is] weak.”<sup>9</sup> These conclusions are not consistent with those of many of the  
37 studies reviewed.<sup>10-15</sup>

38 The statistical power of prior studies has been limited by sample size. Very few exceed  $n =$   
39 1,000, and the largest examined 210,723 birth records.<sup>12</sup> In the general population, the birth  
40 defects under investigation occur at rates of only one per several thousand. Larger datasets  
41 provide the opportunity to examine spatial relationships and social and demographic variables

42 potentially excluded from smaller studies.<sup>16</sup> This may be particularly useful where agricultural  
43 chemicals are in heavy use in some areas and not in others. Combining a nationwide birth record  
44 dataset with a nationwide pesticide application dataset provides a sample size large enough to  
45 support statistical analysis of the distribution of relatively rare birth outcomes and pesticide  
46 application across the United States.

47

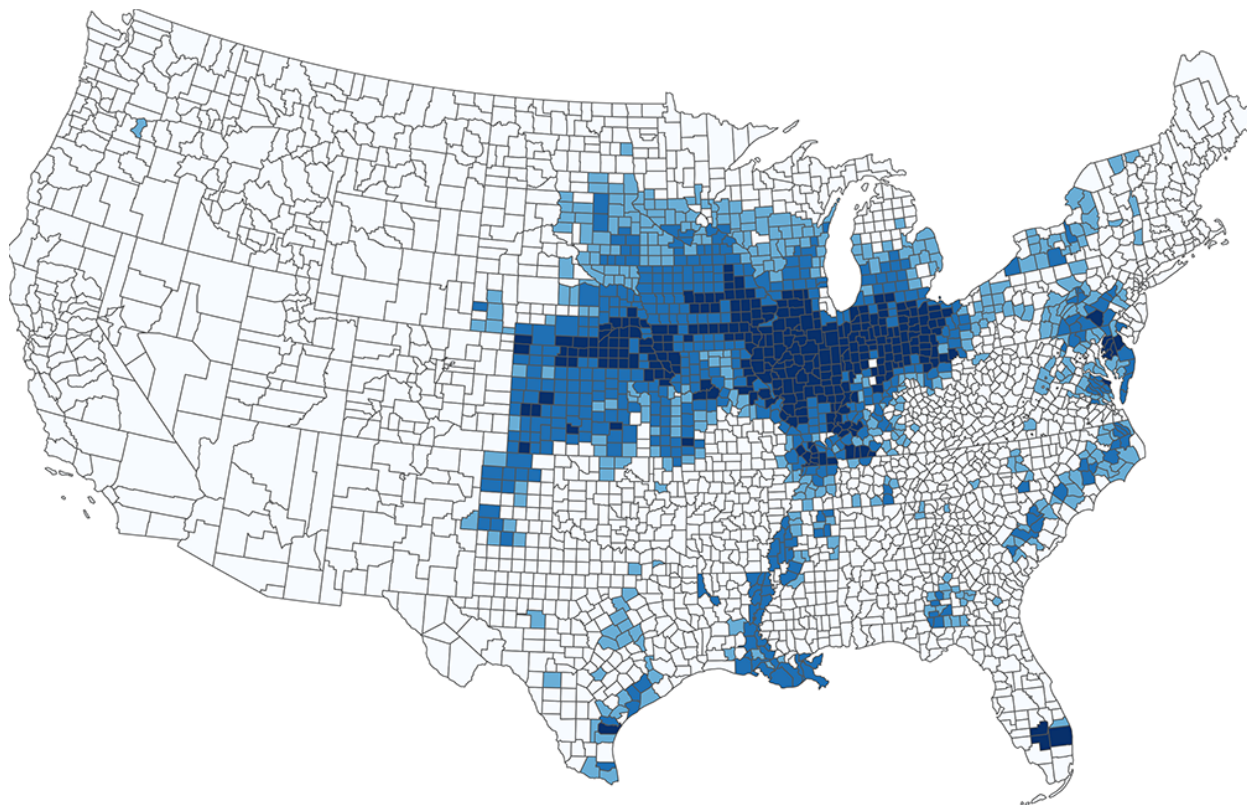
## 48 **MATERIALS AND METHODS**

49 *Data.* The U.S. National Center for Health Statistics' (NCHS) National Vital Statistics System  
50 maintains the U.S. Natality dataset, which compiles U.S. live birth records. U.S. birth certificates  
51 are formatted according to national NCHS specifications last updated in 2003.<sup>17</sup> County-coded  
52 birth records are available by request to NCHS, subject to confidentiality restrictions. This study  
53 began with all live birth records in the U.S. Natality dataset between January 1, 2006 and  
54 December 31, 2012 (n = 28,919,346).

55 The United States Geological Survey's (USGS) Pesticide National Synthesis Project (PNSP)  
56 dataset provides annual estimates (kg/y) of pesticide use in approximately 3,000 counties in the  
57 contiguous U.S. Briefly, USGS combined survey data on pesticide use at the Crop Reporting  
58 District level with federal data on annual crop-acres farmed per county to generate county-level  
59 pesticide application estimates.<sup>18-20</sup> This data is publicly available online  
60 (<https://water.usgs.gov/nawqa/pnsp/usage/maps/>).

61 *Identifying Births with Mothers from Counties with High Atrazine Use.* To avoid over-reliance  
62 on the PNSP absolute estimates, the PNSP data were transformed from cardinal sorting (by  
63 absolute estimated kg/county applied) to ordinal sorting (percentile mean annual estimated kg /  
64 county land area). Counties in 60-75, 75-90, and 90-100th percentile atrazine intensity were

65 categorized as Level 1, Level 2, or Level 3 intensity counties, respectively. Figure 1 presents a  
66 map of Level 1, 2, and 3 atrazine counties. For example, during the period 2006 to 2012, an  
67 average of 25 kg atrazine per square mile were applied each year in Clay County, Arkansas –  
68 placing it at Level 2. Any birth records from that county were tagged as “level 2 atrazine” birth  
69 records. This was repeated for all birth records.



70  
71 Figure 1. High-Intensity Atrazine Counties 2006-2012, Levels 1, 2, and 3 (lightest to darkest).

72 The PNSP dataset required cleaning prior to use because it does not include every U.S. county  
73 for every pesticide. The missing counties were addressed as follows:

- 74 • The atrazine dataset included only a few California counties. As explained in Baker and  
75 Stone,<sup>20</sup> California maintains its own application records, and California application data  
76 was imported into the PNSP after the rest of the dataset was complete. In contrast to other  
77 states, where zero-application counties are included as nulls, this resulted in the PNSP

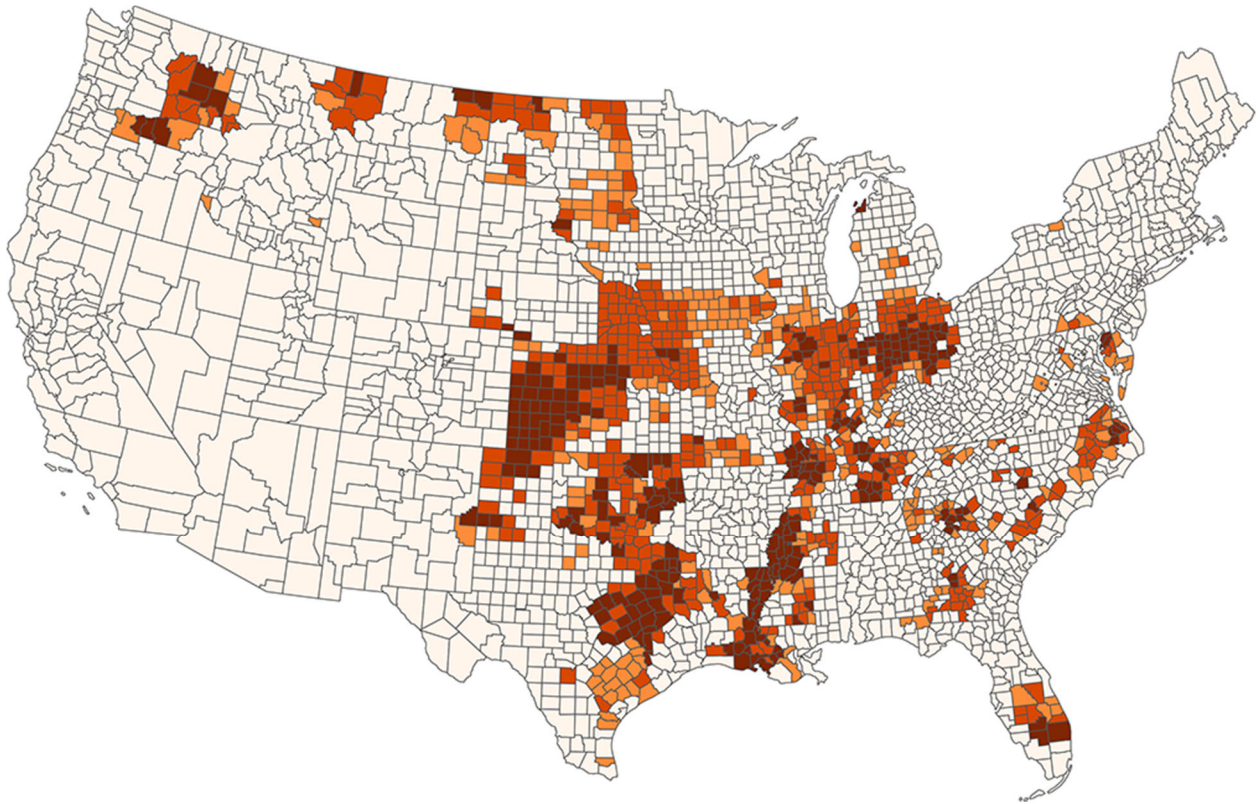
78 dataset including only those California counties with greater than 10 kg/y pesticide  
79 application. Data in the USGS dataset from California were compared to its California  
80 DPR source files to verify that application amounts in USGS files were consistent with  
81 DPR files (they were). All missing California counties were then added to the PNSP  
82 dataset, with null atrazine application amounts. Sensitivity to the California data was tested  
83 by dropping California and rerunning the primary analysis.

84 • Approximately 40 Virginia cities have separate county codes which are not reflected in the  
85 PNSP data for any pesticide. Each of these cities is a “Virginia independent city” that is  
86 surrounded by or adjacent to another county. Each Virginia independent city was coded  
87 with the atrazine level of the county that surrounds or is adjacent to it. Independent cities  
88 on the Virginia Peninsula were matched to York County. Sensitivity to the Virginia data  
89 was tested by dropping Virginia and rerunning the primary analysis.

90 • The atrazine dataset did not include application volume data for the following major U.S.  
91 metropolitan areas: the New York City area; Washington, D.C.; Boston, MA; Philadelphia,  
92 PA; New Orleans, LA; and St. Louis, MO. Atrazine application volume was marked as “0”  
93 in all urban counties with published SDWA Drinking Water Quality Reports between 2006  
94 and 2012 indicating that drinking water did not contain atrazine and assuming low  
95 exposure probability via other pathways. In the St. Louis and New Orleans metropolitan  
96 areas, where atrazine has been detected in drinking water during the study period, atrazine  
97 intensity was set to Level 2. Sensitivity to the metropolitan data was tested by running the  
98 primary analysis a) after dropping New Orleans and St. Louis and b) after dropping all  
99 non-reported metro counties.

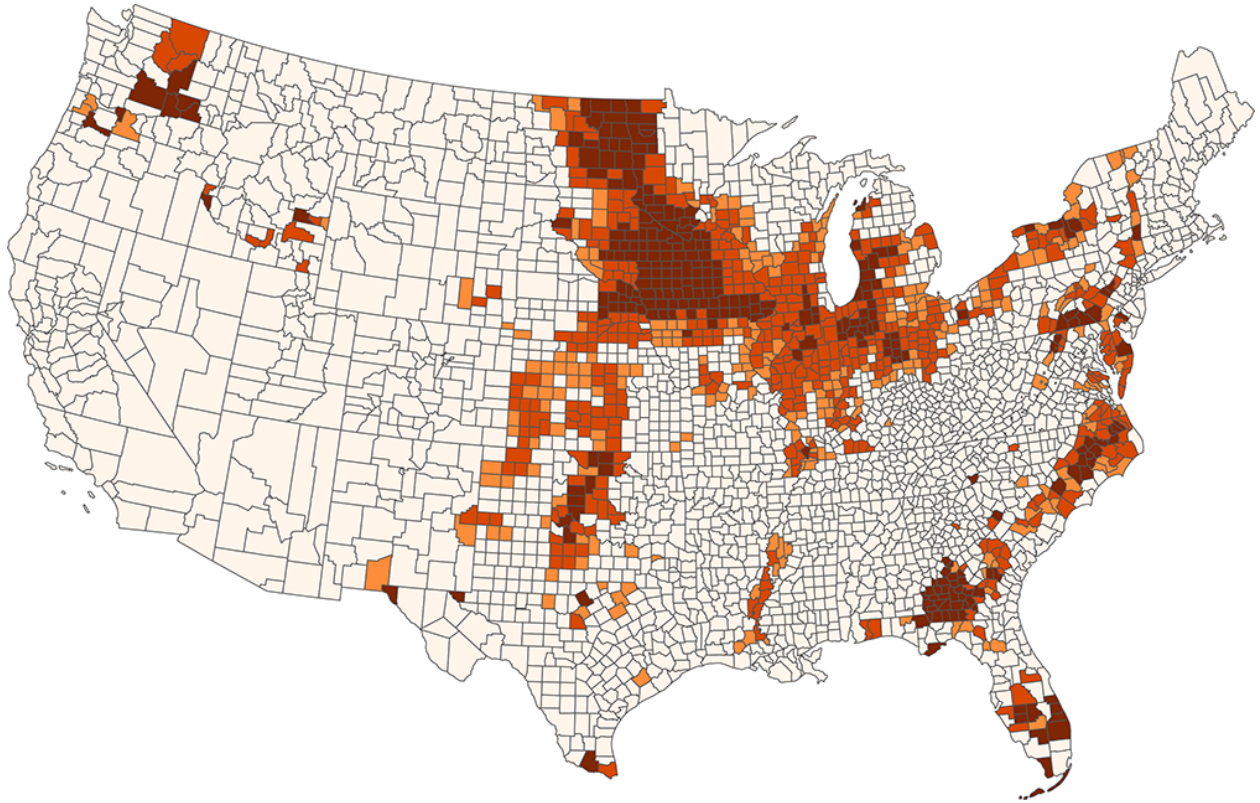
100 • The PNSP atrazine database was missing data from an additional fifteen rural counties,  
101 with a total population of approximately 90,000. These counties were dropped from the  
102 analysis.

103 Similar adjustments were made to the control pesticides (discussed below), as necessary.  
104 Figures 2 through 5 show Level 1, 2, and 3 counties for 2,4-D, chlorpyrifos, glyphosate, and  
105 metolachlor-s.



106  
107 Figure 2. High-Intensity 2,4-D Counties 2006-2012, Levels 1, 2, and 3 (lightest to darkest)



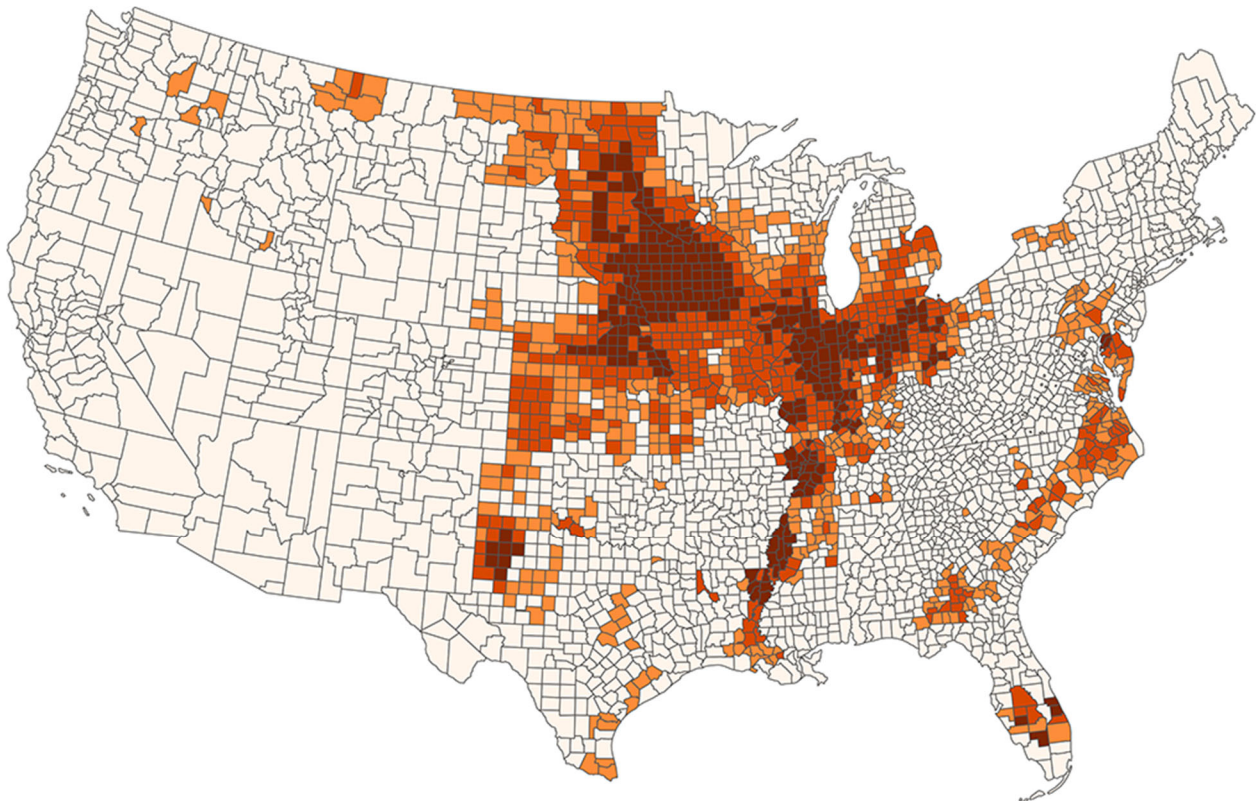


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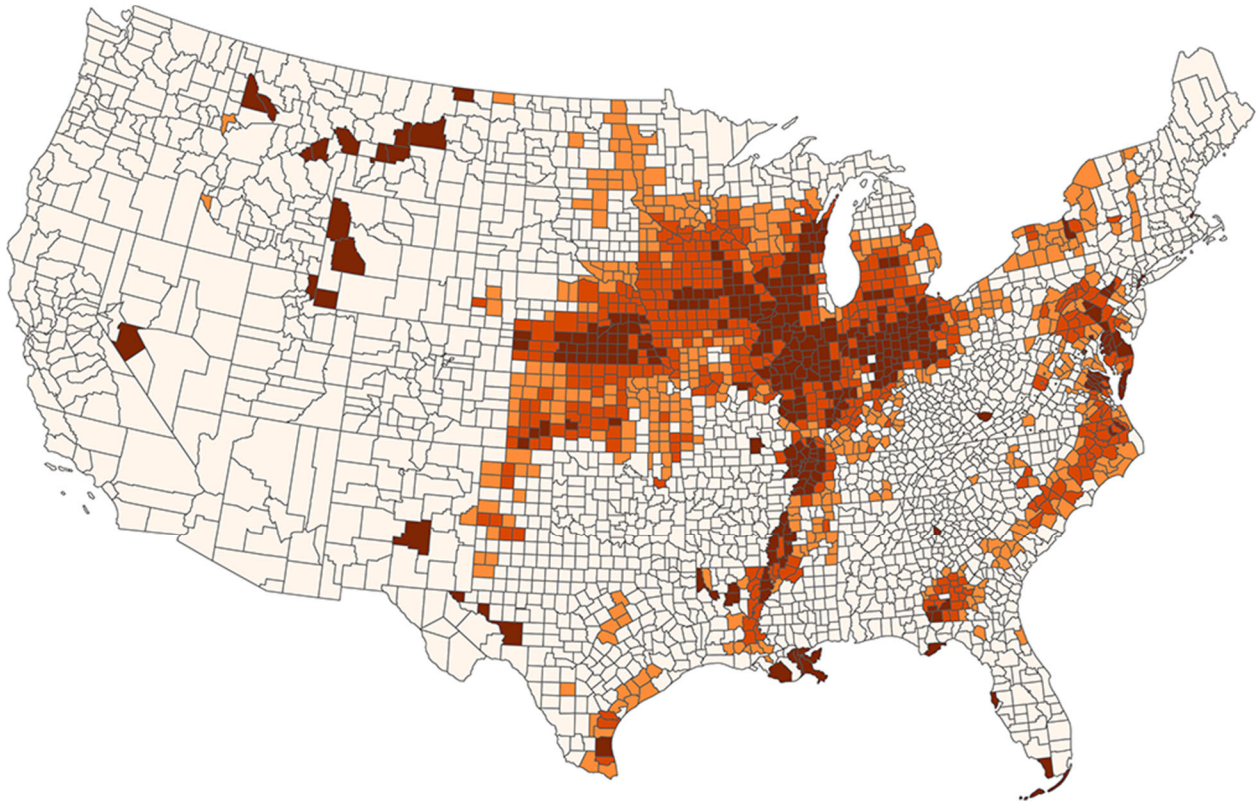
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Figure 3. High-Intensity Chlorpyrifos Counties 2006-2012, Levels 1, 2, and 3 (lightest to darkest)



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112 Figure 4. High-Intensity Glyphosate Counties 2006-2012, Levels 1, 2, and 3 (lightest to darkest)



113  
114 Figure 5. High-Intensity Metolachlor-S Counties 2006-2012, Levels 1, 2, and 3 (lightest to  
115 darkest)

116  
117 *Identifying Birth Defects.* The birth records were coded for reporting of the following birth  
118 defects: gastroschisis/omphalocele, anencephaly, spina bifida/myelomeningocele, and  
119 hypospadias. This required some adjustment, as birth defect reporting standards changed during  
120 the study period. Although the current standard was finalized in 2003, it was phased in across  
121 states. In 2006 and 2007, almost 15 percent of U.S. birth records were taken under the older  
122 standard. By 2012, the number had fallen to about 5 percent. Between 2006 and 2012, about 8.5  
123 million live births were reported under the older standard. To compensate for this, the  
124 gastroschisis and omphalocele reports under the 2003 standard were combined, to match the  
125 1989 standard. Under this treatment, the dataset included 10,806 recorded cases of



126 gastroschisis/omphalocele (1 in 2,650), 3,279 cases of anencephaly (1 in 8,735), 4,770 cases of  
127 spina bifida/myelomeningocele (1 in 6,002), and 10,733 cases of hypospadias (1 in 1,366 male  
128 births).

129 *Tagging Birth Records with County Codes and Control Variables.* Five-digit FIPS codes were  
130 generated for all remaining birth records. Each birth record was then tagged with the county-  
131 level pesticide information generated in the high-use county identification process described  
132 above. To isolate the potential effect of the “high atrazine” variable, the birth records were  
133 tagged with a variety of control variables: mother’s race (non-Hispanic white, black, Hispanic  
134 (all), Asian (all), Native American); mother’s education level (revised standard); mother’s age  
135 below 25 (dummy variable); mother’s tobacco use (dummy variable, revised standard); county  
136 poverty level above 20% (dummy variable); and birth year.

137 Finally, birth records were tagged with county-level application intensity quartile statistics for  
138 four other pesticides: 2,4-D, glyphosate, chlorpyrifos, and metolachlor-s. These pesticides were  
139 chosen because they are among the top ten most applied pesticides by volume in the U.S. and are  
140 well covered in the USGS NSPS dataset. Carbofuran and simazine were considered but not used,  
141 given limitations of the NSPS dataset for these pesticides.

142 *Statistical Analysis.* With the 28,643,141 remaining records, a series of logistic regressions  
143 (Stata 13: logistic) were performed using birth defect variables as the explained variables, and  
144 atrazine intensity and the control variables as the explanatory variables. That is, the reported  
145 prevalence of birth defects in U.S. counties with the highest (90th percentile) amounts of atrazine  
146 applied between 2006 and 2012 were compared to the reported prevalence of the same defects in  
147 other U.S. counties during the same period, with controls for mother’s age, mother’s race,  
148 mother’s smoking, high poverty level, and Level 3 intensity of 2,4-D, chlorpyrifos, glyphosate,

149 and metolachlor-s application. In order to assess the impact of level assignment decisions  
 150 documented above, the same logistic regressions were run on the dataset with California,  
 151 Virginia, New Orleans and St. Louis, and all non-reporting-for-atrazine metro counties dropped.  
 152 The primary analysis (without sensitivities) was repeated with atrazine and control variables at  
 153 Level 2 (75-90<sup>th</sup> percentiles) and Level 1 (60-75<sup>th</sup> percentile).

154 Findings (excluding non-pesticide controls) for the Level 3, 2, and 1 analyses are reported in  
 155 Table 1, below, as odds ratio (OR) with a 95% confidence interval. See Supplemental  
 156 Information for Stata .do files and complete outputs.

157

## 158 **RESULTS AND DISCUSSION**

159 Birth records from Level 3 atrazine intensity counties were more likely to report  
 160 gastroschisis/omphalocele (1.28 [1.16, 1.41]), anencephaly (1.39 [1.16, 1.68]), spina bifida (1.60  
 161 [1.39, 1.85]), and hypospadias (1.42 [1.28, 1.56]). The results were not substantially different  
 162 under sensitivities.

163

	Level 1		Level 2		Level 3	
<b>Gastroschisis &amp; Omphalocele</b>	O.R. (C.I. 95%)	P> z	O.R. (C.I. 95%)	P> z	O.R. (C.I. 95%)	P> z
Atrazine	1.00 (0.93, 1.08)	0.950	1.05 (0.97, 1.13)	0.254	1.28 (1.16, 1.41)	0.000
2,4-D	1.11 (1.04, 1.19)	0.001	0.98 (0.91, 1.05)	0.569	1.02 (0.93, 1.12)	0.671
Chlorpyrifos	1.09 (1.02, 1.16)	0.007	1.21 (1.14, 1.30)	0.000	1.02 (0.95, 1.09)	0.678
Glyphosate	1.08 (1.01, 1.16)	0.019	0.95 (0.88, 1.02)	0.170	1.06 (0.95, 1.19)	0.313
Metolachlor-S	0.95 (0.89, 1.03)	0.200	1.12 (1.03, 1.22)	0.005	1.10 (1.02, 1.19)	0.018
<b>Anencephaly</b>						
Atrazine	0.92 (0.80, 1.06)	0.252	1.15 (0.99, 1.33)	0.067	1.39 (1.15, 1.68)	0.001

2,4-D	1.13 (1.00, 1.29)	0.050	1.24 (1.09, 1.41)	0.001	1.39 (1.18, 1.64)	0.000
Chlorpyrifos	1.20 (1.07, 1.34)	0.002	1.07 (0.94, 1.22)	0.317	1.03 (0.90, 1.17)	0.677
Glyphosate	1.20 (1.07, 1.36)	0.003	1.01 (0.88, 1.16)	0.895	1.30 (1.06, 1.60)	0.012
Metolachlor-S	0.98 (0.85, 1.12)	0.747	1.24 (1.07, 1.44)	0.005	0.85 (0.73, 0.99)	0.033
<b>Spina Bifida</b>						
Atrazine	0.98 (0.88, 1.10)	0.764	1.27 (1.14, 1.43)	0.000	1.60 (1.39, 1.85)	0.000
2,4-D	1.22 (1.10, 1.34)	0.000	1.23 (1.11, 1.36)	0.000	1.07 (0.93, 1.23)	0.355
Chlorpyrifos	1.07 (0.98, 1.18)	0.139	1.47 (1.33, 1.61)	0.000	0.89 (0.80, 0.99)	0.038
Glyphosate	1.11 (1.00, 1.23)	0.041	0.96 (0.86, 1.08)	0.513	1.36 (1.16, 1.60)	0.000
Metolachlor-S	1.06 (0.95, 1.18)	0.271	1.08 (0.96, 1.21)	0.206	0.95 (0.85, 1.07)	0.428
<b>Hypospadias<sup>1</sup></b>						
Atrazine	1.16 (1.08, 1.24)	0.000	1.01 (0.94, 1.08)	0.833	1.42 (1.29, 1.56)	0.000
2,4-D	1.19 (1.12, 1.27)	0.000	0.97 (0.91, 1.04)	0.456	0.84 (0.76, 0.93)	0.001
Chlorpyrifos	1.15 (1.09, 1.22)	0.000	1.14 (1.07, 1.21)	0.000	0.86 (0.80, 0.92)	0.000
Glyphosate	0.91 (0.86, 0.97)	0.000	0.95 (0.88, 1.02)	0.156	1.36 (1.23, 1.51)	0.000
Metolachlor-S	0.96 (0.90, 1.03)	0.231	1.31 (1.22, 1.41)	0.000	0.83 (0.77, 0.90)	0.000

**Table 1: Selected Results from Statistical Analysis (C.I. 95%)**

164

165 For atrazine, coefficients decreased in Level 2 counties, and (except for hypospadias)  
166 decreased again in Level 1 counties. However, some control pesticides exhibited a different  
167 pattern: coefficients increased from Level 3 to Level 2 (e.g., gastroschisis and 2,4-D intensity),  
168 or from Level 2 to Level 1 (e.g., anencephaly and chlorpyrifos or glyphosate intensity). As with  
169 many prior studies, the data are suggestive but present challenges to inferring causation between  
170 atrazine exposure and birth defect prevalence.

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<sup>1</sup> Male births only, n=12,834,982.

171 A causal inference would assume that records of birth defects in the U.S. Natality dataset are  
172 reasonably accurate, both in the sense of reporting defects that did occur and not reporting  
173 defects that did not occur, or that – even if it the dataset is not complete – that the reports  
174 represent a spatially consistent sample of birth defects that did occur. However, underreporting  
175 of birth defects on birth records has been documented, with racial and socioeconomic biases.<sup>21,22</sup>  
176 This is potentially visible in the Natality dataset, which shows significantly lower prevalence  
177 (reporting) of birth defects among children of black mothers and in higher-poverty counties.  
178 Because poverty and racial demographics are not geographically homogenous, this may bias  
179 results in the present study. In fact, top-percentile atrazine counties are significantly more non-  
180 Hispanic white and higher poverty than the average county. The study sought to address this by  
181 including racial and economic control variables in the regression, but it is not currently known to  
182 what degree any reporting bias may have influenced the results.

183 Furthermore, the Natality dataset appears to underreport spina bifida and hypospadias. The  
184 dataset prevalence of gastroschisis/omphalocele and anencephaly appear to be consistent with  
185 reliable analyses.<sup>23-27</sup> However, the literature reports rates of spina bifida/myelomeningocele  
186 twice as high as that in the dataset,<sup>25</sup> and ten times as high for hypospadias.<sup>26-29</sup> In other words,  
187 the Natality dataset could be missing half the spina bifida cases, and ninety percent of the  
188 hypospadias, in the live birth population. To the extent that this underreporting is not spatially  
189 homogenous, this could bias the present analysis. The analysis did not control for this, and it is  
190 not currently known to what degree this reporting bias may have influenced the results for  
191 hypospadias and spina bifida.

192 A causal inference from this analysis would also assume that a mother's recorded residence in  
193 a high-intensity atrazine county is a sufficient proxy for gestational exposure. This may be

194 reasonable: atrazine is known to transport through air, water, and soil after application;<sup>30,31</sup> and  
195 commercial atrazine products are known to enter the body through inhalation, oral ingestion, or  
196 dermal contact.<sup>32,33</sup> Therefore, all else equal, an expecting mother's likelihood of exposure to  
197 atrazine should increase in counties where atrazine is applied outdoors in relatively high  
198 volumes. Consistent with this assumption, a variety of atrazine exposure assessment criteria have  
199 been used, including proxies based on surface water concentrations,<sup>13</sup> proximity to agriculture,<sup>34</sup>  
200 nearby crop acreages and pesticide volumes,<sup>10</sup> and self-reported exposure.<sup>35</sup> Still, the more  
201 general the exposure proxy, the less likely it will be possible to confirm in individual cases that  
202 mothers of children who were recorded as resident in counties with high atrazine use were, in  
203 fact, exposed to atrazine during their child's gestation period (or that mothers resident in other  
204 counties were not). On the other hand, at scales beyond a few hundred, it is not feasible to test  
205 urine for exposure biomarkers.<sup>11</sup> This study attempted to address this by controlling for a variety  
206 of traditional factors associated with birth defects, as well as the high-intensity application of  
207 other commercial pesticides – which occur in agricultural areas that are in many ways similar to  
208 those using atrazine. Nonetheless, in-county residence reporting is not residence in fact, and  
209 residence in fact does not necessarily mean exposure, and it is not currently known whether this  
210 biases the results.

211 Finally, a causal inference would assume that the pesticide controls chosen for this analysis are  
212 sufficient to elucidate the potential relationships and interactions between exposure to atrazine  
213 and other pesticides. This is not likely to be the case, as only four other chemicals could be used,  
214 and some of these exhibited non-linear relationships between application intensity and birth  
215 defect prevalence. Although no part of the analysis resulted in significant reduction to the



216 atrazine coefficients, it is still possible that some interaction or other factor associated with  
217 atrazine, but not atrazine itself, could be behind the observed relationship.

218 The analysis could be extended with improved data, additional controls, and further statistical  
219 investigation. Incorporation of still-birth records could provide further cases and controls.  
220 Adding crop coverage and crop type information could provide useful controls. Revisions to the  
221 NSPS datasets could allow for additional pesticides as controls, and improvements to birth defect  
222 reporting could increase the reliability of the results. Time-series analysis could be performed, in  
223 combination with difference-in-differences statistical analysis, focusing on areas that increased  
224 or decreased crop production, pesticide usage, or both.

225 “Big data” are not often collected with environmental and human health research in mind, and  
226 are not typically standardized or linkable without researcher effort.<sup>36</sup> Nonetheless data analysis is  
227 an increasingly important component of much environmental science.<sup>37</sup> While it is possible to  
228 argue that 29 million birth records do not qualify as “big,” and certainly no sophisticated  
229 machine learning techniques were necessary to develop initial insights, the present analysis  
230 demonstrates the potential value of the birth record datasets in combination with environmental  
231 datasets, and the need for chemical risk assessment experts to attend to the improvement of both  
232 the nation’s birth record data collection and environmental and chemical release inventories.  
233 Using relatively simple statistical techniques, the combination of two existing datasets has  
234 revealed a previously unobserved, highly significant increase in birth defects in counties selected  
235 only for high intensity atrazine use. This approach suggests new directions for work on large-  
236 scale chemical risk and human health assessment.

237

238 ASSOCIATED CONTENT

239 orford\_atrazine.master.do, containing model and statistical analysis (Stata file)

240 orford\_20xxallpests.xlsx, containing all pesticide data used (Excel file)

241 orford\_results.txt, containing Stata output of statistical analysis (text file)

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